

August 25, 2000

IN THE UNITED STATES ELECTED OFFICE

Applicants: Peter J. Sims et al.

U.S. Application No.: 09/600,535

Int'l. Appl. No.: PCT/US99/01087

Int'l. Filing 19 JAN 1999

Priority Date: 20 JAN 1998

For: METHODS AND COMPOSITIONS TO ALTER TISSUE SUSCEPTIBILITY  
TO IMMUNE INJURY, TO PROGRAMMED CELL DEATH, AND TO  
CLEARANCE BY THE RETICULOENDOTHELIAL SYSTEM

1601  
16351-2-01  
RECEIVED

DEC 07 2000

TECH CENTER 1600/2900

5000

REQUEST FOR PARTIAL FEE REFUND AND SUBMISSION OF SMALL ENTITY FORMS

Box PCT  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

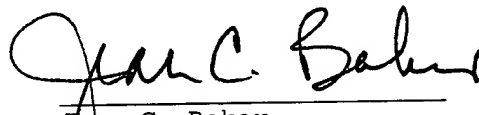
Request is hereby made for refund of one-half of the U.S. basic national fee previously paid in connection with the above-referenced U.S. Application. The U.S. basic national fee previously paid amounted to \$1,254.00 and, therefore, the sum of the refund requested is \$627.00.

In support of this request, a Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION) is being filed on even date herewith.

Finally, this request is made within two months of the date of the timely payment of the full fee as provided in 37 CFR 1.28(a).

The Commissioner is hereby authorized to credit the refund amount of \$627.00 to Deposit Account No. 17-0055.

Respectfully submitted,

  
Jean C. Baker  
Reg. No. 35,433

Quarles & Brady  
411 East Wisconsin Avenue  
Milwaukee, WI 53202-4497  
Tel. No. (414) 277-5709

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c))--NONPROFIT ORGANIZATION**

Docket Number (Optional)  
160180.90121

Applicant or Patentee: Peter J. Sims et al.  
Serial or Patent No.: 09/600,535  
Filed or Issued: July 18, 2000

Title: METHODS AND COMPOSITIONS TO ALTER TISSUE SUSCEPTIBILITY TO IMMUNE INJURY, TO PROGRAMMED CELL DEATH, AND TO CLEARANCE BY THE RETICULOENDOTHELIAL SYSTEM

I hereby declare that I am an official or empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: The Blood Center of Southeastern Wisconsin  
ADDRESS OF NONPROFIT ORGANIZATION: 8727 Watertown Plank Road  
Milwaukee, WI 53201-2178

**TYPE OF ORGANIZATION**

☐ University or Other Institution of Higher Education

☒ Tax Exempt Under Internal Revenue Code (26 USC 501(a) and 501(c)(3))

☐ Nonprofit Scientific or Educational Under Statute of State of the United States of America

Name of State: \_\_\_\_\_  
Citation of Statute \_\_\_\_\_

☐ Would Qualify as Tax Exempt Under Internal Revenue Code (26 USC 501(a) and 501(c)(3)) if Located in the United States of America

☐ Would Qualify as Nonprofit Scientific or Educational Under Statute of a State or the United States of America if Located in the United States of America

Name of State: \_\_\_\_\_  
Citation of Statute \_\_\_\_\_

I hereby declare that the above identified nonprofit organization qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, with regard to the invention described in:

- ☐ the specification filed herewith with the title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each such person, concern or organization having rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.  
☐ Each such person, concern or organization is listed below.

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Richard H. Aster

TITLE IN ORGANIZATION OF PERSON SIGNING Intellectual Property Coordinator

ADDRESS OF PERSON SIGNING 8727 Watertown Plank Road, Milwaukee, Wisconsin 53201-2178

SIGNATURE Richard H. Aster DATE 8-19-00